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I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, DC 20231, on the date shown below.  
Dated: January 15, 2002      Signature: *Robert B. Cohen*  
(Robert B. Cohen)

Docket No.: SONYJP 3.0-210  
(PATENT)



**COPY OF PAPERS  
ORIGINALLY FILED**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Ichiro Hamada

Application No.: 09/976,476

Group Art Unit: 2131

Filed: October 12, 2001

Examiner: Not Yet Assigned

For: INFORMATION PROCESSING DEVICE AND  
METHOD, AND RECORDING MEDIUM

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

**BOX MISSING PARTS**  
Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Enclosed for filing please find a Declaration and Power of Attorney in connection with the above-identified application. Please charge our Deposit Account No. 12-1095 in the amount of \$870 covering the statutory basic filing fee (\$740) and the fee (\$130) set forth in 37 CFR 1.16(e). Also enclosed is a copy of PTO form entitled "NOTICE TO FILE MISSING PARTS OF APPLICATION."

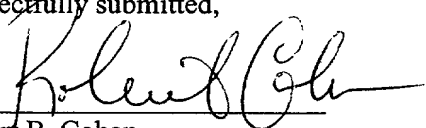
With respect to the missing Fig. 10, applicant encloses herewith a Request to Amend Drawings for the purpose of adding Fig. 10 to the application.

09976476-020802

If the Examiner has any questions concerning this application, he or she is requested to call applicant's attorney at (908) 654-5000. If any additional fees are required by the present Communication, the Examiner is hereby authorized to charge them to our Deposit Account No. 12-1095.

Dated: January 15, 2002

Respectfully submitted,

By   
Robert B. Cohen

Registration No.: 32,768

LERNER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK, LLP  
600 South Avenue West  
Westfield, New Jersey 07090  
(908) 654-5000

Attorneys for Applicant

09/976,476-2002

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Dated: January 15, 2002

Signature:

(Robert B. Cohen)

Docket No.: SONYJP 3.0-210  
(PATENT)



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Ichiro Hamada

Application No.: 09/976,476

Group Art Unit: 2131

Filed: October 12, 2001

Examiner: Not Yet Assigned

For: INFORMATION PROCESSING DEVICE AND  
METHOD, AND RECORDING MEDIUM

Commissioner for Patents  
Washington, DC 20231

**REQUEST TO AMEND DRAWINGS**

Dear Sir:

In partial response to the Notice to File Missing Parts mailed November 16, 2001 in connection with the above-captioned patent application, permission is requested to insert the missing FIG. 10 as attached hereto into the application. FIG. 10 was inadvertently omitted from the application as filed.

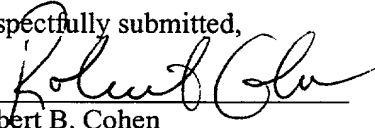
The disclosure of FIG. 10 is fully supported in the specification of the present application. Thus, page 12 of the specification in paragraph 53 states that the process of steps S11 to S16 of the flow chart of FIG. 10 is basically the same as the process of the steps S1 to S6 of the flow chart of FIG. 8. A comparison of Fig. 10 to Fig. 8 shows this to be the case. With regard to the two steps which are different, paragraph 53 describes step S12, and paragraph 54 describes step S14. Therefore, applicant has pointed out where support for the disclosure of FIG. 10 can be found in the specification, such that no new matter is introduced to the application by the insertion of this figure. Favorable consideration by the Examiner is respectfully requested.

09976476-02002

No fee is believed necessary for this submission. However, should the Examiner believe a fee is due, authorization is hereby given to charge Deposit Account No. 12-1095 therefor.

Dated: January 15, 2002

Respectfully submitted,

By   
Robert B. Cohen  
Registration No.: 32,768  
LERNER, DAVID, LITTENBERG,  
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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/976,476	10/12/2001	Ichiro Hamada	SONYJP 3.0-210

CONFIRMATION NO. 9957

000530  
LERNER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK  
600 SOUTH AVENUE WEST  
WESTFIELD, NJ 07090

FORMALITIES LETTER



\*OC000000007083495\*

Date Mailed: 11/16/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

02/12/2002 NMOHAMM1 0000095 09976475

FILED UNDER 37 CFR 1.53(b)

01 FEB 101 740.00 CH  
02 FEB 105 130.00 CH

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

The following item(s) appear to have been omitted from the application:

- Figure(s) 10 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the


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application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b)**. In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

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*A copy of this notice **MUST** be returned with the reply.*

  
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Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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